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PATENT  
Docket No. 35103-018001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Hauk et al.

Appln. No.: 09/540,601

Filed: March 31, 2001

For: **VIRTUAL TRADING FLOOR  
SYSTEM**

) Examiner: unassigned

) Art Unit: 2768

) I hereby certify that this correspondence is being  
) deposited with the United Postal Service with  
) sufficient postage as first class mail in an envelope  
) addressed to: Assistant Commissioner of Patents,  
) Washington, DC 20231, on October 3, 2001.

) By: Catherine N. Hunter

) Signature: [Signature]

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR §§ 1.56, 1.97 and 1.98, the references listed on the attached Form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the patent application filed herewith. A copy of each reference cited is enclosed herein.

Applicants submit that this Information Disclosure Statement is being submitted under 37 CFR §§ 1.97 (c)(1) and (e). The undersigned attorney hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement

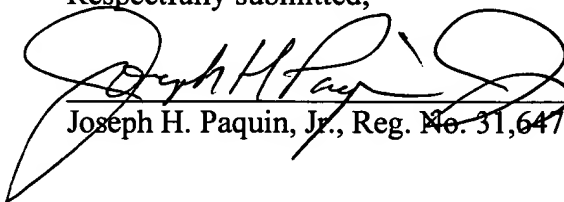
Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any reference or other art, including the disclosures of those references and other information discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

The citation of the above documents and other information is not to be construed as an assertion that more pertinent art could not possibly be in existence.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the enclosed Form PTO-1449 with initials or other appropriate remarks.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 13-0206.

Respectfully submitted,



Joseph H. Paquin, Jr., Reg. No. 31,647

Date: October 3, 2001

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